

11/03/00  
104667  
11/03/00  
JCS91 U.S. PTO  
JCS91 U.S. PTO  
09/104667

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

001451

Total Pages

First Named Inventor or Application Identifier

Tsutomu SATO, Ryusuke YAMAGUCHI, Yusuke HASHIZUME,  
Kazuyoshi TSUTSUMI, Yutaka HAYANO, Hiroshi MAEJIMA  
and Junya OZAWA

Check Box, if applicable [ ] Duplicate

Express Mail Label No.

APPLICATION ELEMENTS FOR:

**OPTICAL READING APPARATUS AND IMAGE  
FORMING APPARATUS**

ADDRESS TO: Director of Patents and Trademarks  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. [XX] Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. [XX] Specification Total Pages [27]

3. [XX] Drawing(s) (35 USC 113) Total Sheets [13]

4. [XX] Oath or Declaration Total Pages [3]

a. [XX] Newly executed (original)

b. [ ] Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).

i. [ ] Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. [ ] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6. [ ] Microfiche Computer Program (Appendix)

7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [ ] Computer Readable Copy

b. [ ] Paper Copy (identical to computer copy)

c. [ ] Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. [ ] Assignment Papers (cover sheet and document(s))

9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee)

[XX] Power of Attorney

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. **001451**

First Named Inventor or Application Identifier

**Tsutomu SATO, Ryusuke YAMAGUCHI, Yusuke HASHIZUME,  
Kazuyoshi TSUTSUMI, Yutaka HAYANO, Hiroshi MAEJIMA  
and Junya OZAWA**

**PAGE 2 OF 3**

10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☐ Claim for Convention Priority ☐ Certified copies of Priority Documents

a. Priority of \_\_\_\_\_ application no. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 USC 119.

The certified copies/copy have/has been filed in prior application Serial No. \_\_\_\_\_.

(For Continuing Applications, if applicable).

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic . . Fee
The filing fee is calculated below				\$710.00
Total Claims	29 - 20	9	x \$18.00	\$ 162.00
Independent Claims	4 - 3	1	x \$80.00	\$ 80.00
Multiple Dependent Claims			\$270.00	\$270.00
Basic Filing Fee				\$1222.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$1222.00

[TITLE OF THE INVENTION]

OPTICAL READING APPARATUS AND IMAGE FORMING APPARATUS

[BACKGROUND OF THE INVENTION]

Field of the Invention

The present invention relates to an optical reading apparatus for reading an original document surface by optically scanning, and an image forming apparatus, carrying the optical reading apparatus thereon, with a printing function to receive print data from an electronic copying function and the outside, and the like.

With rapid development of recent electronic technologies and optical technologies, high-quality, multifunction and downsized optical reading apparatuses and image forming apparatuses have been provided.

In the optical reading apparatus, a scanning pitch has become more fine to optically read an original document surface precisely. As photoelectric conversion means for converting an optically read signal into an electric signal, a high resolution CCD sensor having more pixels is used. Further, the image forming apparatus (copying machine) carrying the optical reading apparatus has a facsimile function and a printer function to receive print data from an external data processing device such as a personal computer in addition to a copying function. Further, a function to transmit image data obtained by the optical reading apparatus to the data processing device such as a personal computer is required. As one image forming apparatus has these four functions, rationalization and downsizing of clerical work space is attained.

Fig. 1 shows a first example of the outer appearance of general conventional image forming apparatus having plural. The conventional image forming apparatus shown in Fig. 1 has paper trays for independently

storing plural sizes of print sheets in a lower part of the cabinet, thus reducing the floor space. However, as discharge trays for holding discharged print sheets after image formation are protruded outward from the side surface side, the substantial floor space necessary for installation of the apparatus includes the discharge trays protruded outward from the side surface side.

Fig. 2 shows the outer appearance of "ABLE 3250" by Fuji Xerox Co., Ltd. To solve the above problem, the discharge tray is provided between a position under the housing of optical reading apparatus and a cabinet upper portion containing an image forming unit. In the image forming apparatus of this conventional art, as the discharge tray for storing print sheets after image formation is provided within the size of the cabinet without being protruded outward from the side surface side, the substantial floor space is greatly reduced.

Fig. 3 is a cross-sectional view of the optical reading apparatus of the conventional art in Fig. 2. An original document is placed on a platen glass 2 attached onto a chassis 1, and a first carriage 3 and a second carriage 7 in cooperation with each other project an image on the original document onto a photoelectric converting device (hereinafter CCD) 12.

The placed original document is irradiated by a light source 4 provided in the first carriage 3. The diffused light of the emitted light passes through an opening 5 of the first carriage 3, and a first mirror 6 changes the optical path in a subscanning direction (direction of the original document movement). The optical-path changed image on the original document is changed downward by a second mirror 8 of the second carriage 7, and changed toward a lens 11 by a third mirror 9. The image light gathered by the lens 11 is emitted on the CCD (photoelectric conversion device in the claims) 12 on a CCD board 13 fixed to the chassis 1 with an

angle member 14. Note that in the present invention, the CCD is used as representative of the photoelectric conversion device, however, amorphous or MOS type photoelectric conversion device may be used.

The image converted by the CCD 12 into an electric signal is processed by an image processing circuit on a CCD board 13 and a control board (not shown) in the housing of the optical reading apparatus. The lens 11, the CCD board 13 and the control board are covered with a shielding plate 10 preventing entrance of unnecessarily diffused light from the light source 4.

The first carriage is subscanned at a speed twice faster than that of the second carriage such that the length of optical path from the original document surface through the three mirrors to the lens is always a fixed length.

Although not shown in the figure, the first carriage and the second carriage are supported by respectively different surfaces of guide rails. Further, a document scanning initial position of the first carriage and the second carriage is positioned at the right end of the platen glass 2, and the first carriage scans above the shielding plate 10 around the end of the subscanning. Accordingly, the thickness of the housing of the optical reading apparatus must be equal to or greater than the total amount of the thickness of the shielding plate and the thickness to the first carriage. Thus the thickness of the housing is limited by this amount. Further, space for holding the control board must be ensured in the housing.

In this manner, plural paper trays for various paper sizes are stacked in the lower part of the cabinet of the image forming apparatus, and the discharge tray is provided on an upper part of the cabinet. Further, as space for manual operation to pick up a sheet on the discharge tray is required above the discharge tray, the size of the cabinet of the image

forming apparatus is large in height, and the optical reading apparatus set on the cabinet is provided in a very high position. Further, in many cases, an automatic document feeder (ADF) to pick up plural documents on the tray one by one and send them to the optical reading apparatus is also used. Thus, from the point of improvement in operability, it has been an important problem in the conventional image forming apparatus to reduce the height of the multifunction image formation apparatus.

#### [SUMMARY OF THE INVENTION]

Accordingly, the present invention provides an image forming apparatus carrying an optical reading apparatus thereon, wherein the entire thickness of the image forming apparatus in its height direction is reduced to a minimum, a cabinet thereof is constructed so as to be matched to an upper surface shape of a discharge tray for receiving and stacking image formation sheets discharged from one side-surface lower side to the other side-surface upper side. The optical reading apparatus comprises: platen glass defined with one end and the other end, on an upper surface of which an original document is placed; an optical reading unit that translates between the both ends on a lower surface of the platen glass, for obtaining image data by irradiating an original document surface with light through the platen glass; a control circuit board connected to the optical reading unit, for processing an electric signal; and a housing wherein a side on the side of the other end is thicker than a side on the side of the one end, and wherein the image forming apparatus having a cabinet containing: sheet discharge means for discharging an image formation sheet from a lower-part lower-side on the thicker side of the housing of the optical reading apparatus toward a lower-part upper side on the thinner side of the housing; and a discharge tray on which the image formation sheet

discharged from the sheet discharge means is received and stacked. The control circuit board is provided in a position lower than running movement space of the moving optical reading unit.

The optical reading unit may not be the integrated carriage type optical reader but two-carriage type optical reader. In this case, the optical reading unit has two carriages, a first carriage which carries light source means for irradiating an original document with light and scans the light source means, and a second carriage which carries optical path means for guiding reflected light to the photoelectric conversion means and which moves at a speed half of that of the first carriage.

The present invention further provides an image forming apparatus carrying an optical reading apparatus thereon, wherein the optical reading apparatus comprising: platen glass defined with one end and the other end, on an upper surface of which an original document is placed; light source means translating between the both ends on a lower surface of the platen glass, for irradiating an original document surface with light through the platen glass; photoelectric conversion means for receiving reflection light from the original document and converting it into an electric signal; a optical path means for guiding the reflection light to the photoelectric conversion means; a control circuit board connected to the photoelectric conversion means, for processing an electric signal; and a housing wherein a side on the side of the other end is thicker than a side on the side of the one end, and wherein the image forming apparatus having a cabinet containing: sheet discharge means for discharging an image formation sheet from a lower-part lower-side on the thicker side of the housing of the optical reading apparatus toward a lower-part upper side on the thinner side of the housing; and a discharge tray on which the image formation sheet discharged from the sheet discharge means is received and stacked. If the

optical reading unit is an integrated type optical reader, the light source means has a first carriage for running the light source means and a second carriage that runs at a speed half of that of the first carriage. If the optical reading unit is two-carriage type optical reader, the control circuit board is provided at an equal height of or at a position lower than the photoelectric conversion means.

the optical reading apparatus further comprising shield means, surrounding the photoelectric conversion means and the control circuit board, for preventing entrance of leakage light inside and outside the apparatus and electric noise and preventing electromagnetic wave interference including diffusion of radiation noise.

Further, in the optical reading apparatus, drive mechanism means including a drive motor is provided on the other end side rather than the center of the platen glass on a back side opposite to an operation front side of the apparatus, the platen glass in the housing means is moved to the operation front side of the apparatus.

By this construction, the housing means of the optical reading apparatus is formed such that the thickness of lower part on the one end side is thinner than that of the lower part on the other end side. The image data is read from the original document from the one end side of the platen glass toward the other end side.

#### [BRIEF DESCRIPTION OF THE DRAWINGS]

Fig. 1 illustrates a first example of the outer appearance of the conventional image forming apparatus in which the discharge tray is provided outside the cabinet;

Fig. 2 illustrates a second example of the outer appearance of the conventional image forming apparatus in which the discharge tray is



provided inside the cabinet;

Fig. 3 is a lateral cross-sectional view of the conventional optical reading apparatus in Fig. 2;

Fig. 4 is a perspective view of the outer appearance of an image forming apparatus carrying an optical reading apparatus according to the present invention;

Fig. 5 is a lateral cross-sectional view of the image forming apparatus in Fig. 4;

Fig. 6 is a lateral cross-sectional view of the optical reading apparatus according to the present invention;

Fig. 7 is a vertical cross-sectional view of a driving mechanism of the optical reading apparatus in Fig. 6;

Fig. 8 is a top plan view of the optical reading apparatus in Fig. 6;

Fig. 9 is a schematic cross sectional view of the internal structure of integrated carriage type optical reading apparatus;

Fig. 10 illustrates a first example of the board arrangement of image sensor and control circuit board in a cabinet of the optical reading apparatus;

Fig. 11 illustrates a second example of the board arrangement of image sensor and control circuit board in the cabinet of the optical reading apparatus;

Fig. 12 is a lateral cross-sectional view of automatic document reading device; and

Fig. 13 is a block diagram of the control circuit of the optical reading apparatus according to the present invention.

#### [DESCRIPTION OF THE PREFERRED EMBODIMENTS]

Hereinbelow, the details of optical reading apparatus (hereinafter

referred to as a "scanner") and image forming apparatus carrying the scanner will be described with reference to the drawings.

Figs. 4 to 11 illustrate the outer appearance and cross sections of respective parts of the optical reading apparatus (scanner) and the image forming apparatus (printer system) according to the present invention, comprising a first paper cassette 32a, a second paper cassette 32b, a printer 31, a scanner 30, and an ADF 33.

The printer system carrying the scanner according to the present invention can be utilized as a digital copying machine, and further, since the scanner 30 and the printer 31 can be independently utilized by connection with a network cable 90, these apparatuses also have the following respective functions as a network printer and a network scanner.

#### (1) Printer Mechanism

New print sheets before printing are stored in the cassettes 32a and 32b. Although not shown in the figures, the print sheets are stored in a lower part of space 60 inside the printer. These cassettes are selectively used in accordance with size and type of print sheets. The cassette 32a for example will be described.

Print sheets stacked on the cassette 32a are fed by a pickup mechanism and sent by a feed roller 52, via a conveyance path 53, to a printing engine. As shown in the figures, the printing engine comprises respective principal constituent modules, a developer, a laser device, a drum and a fixer. A sheet on which image formation has been made by the printing engine is discharged by a discharge roller 55 through a conveyance path 54 onto a printer tray 61.

#### (2) Sink Portion

The printer 61 has a slope to improve alignment and orientation of discharged sheets. By this slope, the thickness of sink portion 100a formed

in a chassis 100 of the scanner above the sheet stack is not an obstacle in space where an operator finally reaches to pick up a print out. The depth of the sink portion 100a is set to be approximately equal to a height H from a rear end 61a of the printer tray 61 in a position opposite to a vertical surface 50 at a discharge portion of the printer tray 61 to the bottom surface of the chassis 100 of the scanner 30.

### (3) Frame Structure

A frame 45 is covered with a mold jacket 80. However, to set the scanner 30 on the printer 31 and further set the ADF 33 thereon, the frame as a sturdy structure supports the weight of almost all these elements.

The first cassette 32a and the second cassette 32b containing print sheets before printing, and the printer 31 are placed in a base (lower) part of the frame 45. As the weight of these elements is over the bottom surface of the frame 45, the elements greatly distribute to lower the center of gravity. The frame 45 has a shape to support the outside shape of the chassis 100 of the scanner 30 such that the scanner 30 is placed on the printer 31.

### (4) Features of Scanner

In the frame 45, a peripheral frame 47a is supported by struts 47b to 47d. Accordingly, the frame 45 is supported on three points. The frame 45 has holes 46 into which hinges 34 to support the ADF are inserted. Thus, the ADF 33 is supported not by the scanner 30 but by the frame 45.

This structure depends on the characteristic of the scanner 30. When the chassis 100 of the scanner 30 receives torsional moment, guide rails 101a and 101b supporting the carriage connected to the chassis 100 cannot be kept parallel, and the two carriages running on them cannot maintain a predetermined relation. As a result, light from an original document cannot be sufficiently incident on the photoelectric conversion

system, and image degradation is caused, and further, occasionally, an image cannot be obtained.

For this reason, in the scanner 30, the chassis 100 must be uniformly supported, and an arrangement not to apply a heavy load on a part thereof is required. Generally, in a scanner installed on a floor as a computer terminal, the bottom surface of the chassis can be uniformly received by arrangement of the feet. However, in the present structure to support the chassis by the peripheral frame 47a, unbalanced weighting is avoided as much as possible. In this point, it is very important to support the ADF 33 not by the scanner 30 but by the frame 45. Note that the upper surface of the scanner 30 has two reading surfaces.

Next, ADF platen glass 40 and book platen glass 41 will be described. The ADF platen glass 40 is window glass to obtain an original document image from an original document, separated and conveyed one by one from documents stacked on an original feed tray 62 of the ADF 33, by a separation conveyance unit 64, through an opening 36. The book platen glass 41 is window glass where the original document is fixedly set and original document reading is performed.

#### (5) Operation of Scanner

The original document image is inputted as light into a CCD 105 via a lens 104 as a photoelectric conversion device, by a first carriage 102 and a second carriage 103 subscanned on a guide rail 101. The guide rail 101 includes two pairs of guide rails, upper guide rail 101a and lower guide rail 101c and upper guide rail 101b and lower guide rail 101d. The both ends of the first carriage 102 and the second carriage 103 are supported by surfaces of the respective corresponding guide rails.

An image signal, converted as an electric signal by the CCD 105 on a CCD board 106, is optimized by an analog processor 200 and forwarded as

an analog signal via a connection cable 107 to a control board 108.

In the CCD 105, several thousands of photoelectric conversion chips are one-dimensionally arrayed. The direction along the array is the main scanning direction, and a direction orthogonal to the main scanning direction is the subscanning direction in which the first carriage 102 and the second carriage 103 move.

The control board 108 has circuit blocks such as an analog/digital converter 201, a shading correction processor 202 which corrects optical distortion and the like in the main scanning direction, a lightness gamma corrector 203 which performs gamma correction as curve correction on image lightness and light and shade of image, or an enlargement/reduction processor for processing image resolution, and an I/F 205 for data transfer to the printer 301. They are generally known digital processings and therefore the explanations of these processings will be omitted.

In the embodiment, the I/F 205 is in conformance to particular specifications for the printer 31. As the image data obtained by scanning the carriages is transferred to the printer 31 at a high speed without any delay of image-data acquisition speed, the carriages do not stop during the reading.

In the present embodiment, the reading without stoppage in this manner is realized since the scanner is designed for the particular printer, however, generally, many scanners have a buffer memory for storing image to a certain amount, and read the entire original document by performing carriage scanning and temporary stoppage of reading operation. These scanners have a CPU 206 for setting these processings and timing control or monitoring statuses. The CPU 206 controls a drive motor 110 to drive the first carriage 102 and the second carriage 103 with a wire 120, and monitors an HP sensor for carriage original-position detection. Further, the CPU

controls an inverter power source 111 to turn on/off a light source 109 to irradiate the original document. Further, the scanners actually have several memories such as a program memory, a work memory and an image processing memory, a clock control circuit and the like, however, as they are general constituent elements, the illustration and explanation of these elements will be omitted.

Note that in the above description, the analog elements are mounted on the CCD board 106, however, in some cases, the analog/digital converter 201 may be also mounted on the CCD board, or only a follower transistor to receive output from the CCD 105 or the like is mounted on the CCD board. Accordingly, regarding the board arrangement as a part of the present invention, as definitions of various circuit division such as defining two substrates or dividing the board into three separate boards may be made, the invention includes all such definitions.

#### (6) Operation of Carriage (Reading by ADF Sheet Feeding)

Next, the ADF 33 will be briefly described. In the ADF 33, the separation conveyance unit 64 is briefly divides into a separator to separate stacked original documents into respective one-sheet documents and a conveyor to convey the separated original document, through the opening 36 positioned above the reading window 40 for reading on the scanner 30 side, onto a discharge tray 63. In an initial state, a paper feed roller 70 for paper feeding is withdrawn in an upper position, and a stack of documents is placed in space between the roller and a paper feed guide 78. The end of the document stack is defined by a vertical surface of the paper feed guide 78, and only an upper portion of the stack is fed to a separation roller 71. A rubber separation pad 72 is provided below the separation roller 71, and from the relation between a braking force received from the separation pad 72 and a conveyance force of the separation roller 71, only one sheet is

separated, and sent between a convey rods 73 and 75 and a large roller 74. Then the scanner 30 starts acquisition of original document image based on a signal obtained by an end detection sensor 79. The original document is guided by a pickup unit 76 to a discharge unit 77, and finally discharged onto the discharge tray 63.

Although not shown in the figures, the CPU 206 in the control board 108 of the scanner 30 controls rotation of ADF motor 140. Further, the signal from the end detection sensor 79 is supplied to the CPU 206.

Next, the reading in case of sheet feeding by the ADF will be described.

A white plate 130 is provided on the border between the ADF platen glass 40 and the book platen glass 41, and by referring to the white plate 130, reference data for shading correction is obtained to correct optical distortion caused in the main scanning direction of the optical system of the scanner 30. The method of shading correction is performed by dividing the obtained original document image data by the above reference data for each CCD pixel.

When the first carriage 102 is positioned below the white plate 130, a signal from an HP sensor 207 changes. Accordingly, the first carriage 102 and the second carriage 103 stand by in this standby position below the white plate 130. When a reading start command has come from the printer 31, the scanner 30 obtains data of the white plate without turning on the light source 109. The obtained data is called black data, used for cancellation of white noise caused in the analog circuits, an offset level for each pixel of the CCD 105, temperature drift, and time variation and the like. The shading correction is performed by calculating difference from the reference data and also calculating difference from the obtained image data. Actually, calculation is performed as

correction result = (input image data-black data)/(reference data-black data).

When the acquisition of black data has been completed, the light source 109 is turned on by the inverter 111, and the reference data from the white plate is read after a stabilizing period.

When the acquisition of reference data has been completed, the light source 109 of the first carriage moves to a position to irradiate the original document through the ADF platen glass 40. The drive motor 110 is a stepping motor which obtains a predetermined movement distance by receiving drive of predetermined number of pulses.

When all the initial movement processings have been completed, an original document feeding start command is sent from the scanner 30 to the ADF 33. When all the document feed and image acquisition have been completed, the carriages move to a position below the white plate 130, and stop in a standby state.

The CCD 105 performs image acquisition by repeating 1-line scanning in a lengthwise direction of the original document. While the original document is fed by the large roller 74 of the ADF 33, read image is inputted via the ADF platen glass 40, after optical path changing by a series of mirrors, and through a lens, into the CCD 105. The CCD 105 performs main scanning at a constant speed. More particularly, an electric signal is obtained by bucket-relaying electric charge accustomed in the photoelectric conversion chip constructing each pixel by supplying a clock to a shift register. Accordingly, as an analog signal is serially obtained from the CCD 105, the signal is shaped to an appropriate signal level by utilizing an amplifier or the like, and supplied to an A/D converter. As a conversion timing clock to the A/D converter is synchronized with the shift register clock to the CCD 105, the analog value of each pixel is obtained as a digital value. The digital value is subjected to predetermined processing and sent



from the interface to the printer 31.

When the rear end of the original document sent at the constant speed has caused change in the signal from the end detection sensor 79, the predetermined number of step ADF motors 140 are driven so as to move a large roller 75. Then the acquisition operation of the CCD 105 is completed and the reading is ended.

#### (7) Operation of Carriage (Reading by Fixedly Placing Original Document)

An original document not to be damaged, such as a book or a non-separable booklet is fixedly placed and scanned. The book platen glass 41 is tempered glass having strength not to be distorted upon reception of pressure from above to a certain level. When an operator has placed the original document on the book platen glass 41, the ADF 33 is closed on the original document, and the document is pressed by a pressing plate 35. The pressing plate is used for the purpose of applying pressure to flatten the document even having wrinkle or bend. If the distance from the upper surface of the book platen glass 41 to the original document surface is long, the light from the light source 109 does not reach the document and a dark image is obtained, otherwise, as the distance from the lens 104 is long, predetermined focus cannot be attained and a blur image is obtained.

Generally, in an idle state where reading is not performed, when the scanner 30 receives the reading start command from the printer 31, the scanner obtains the black data and the reference data as in the case of the operation of the ADF. Thereafter, a predetermined pulse is sent to the drive motor 110 to move the first carriage 102 to a placement guide (not shown) as a reference placement position for the original document provided on an end surface of the book platen glass 41.

As the first carriage 102 and the second carriage 103 are both fixed to the wire 120 at their parts, subscanning is performed by driving by the

wire. The first carriage 102 is directly fixed to the wire. The second carriage 103 is driven by the wire over a second carriage pulley 122 on the carriage. Accordingly, the second carriage 103, subscan-moved in accordance with the movement of a shaft of the second carriage pulley 122 on the principle of draw well, moves at a speed  $1/2$  of that of the first carriage 102. The one end of the wire is fixed to a part of the side plate of the chasses 100, and the other end, fixed to a drive pulley 123.

The drive motor 110 performs acceleration control in the progress going from a stoppage state to a reading speed. Accordingly, as acceleration must be started from a position prior to a position under the placement guide as a reading start position by an acceleration area, the relation between the position under the white plate 130 as a stop position and the reading start position is determined by this condition.

As described above, the CCD 105 performs image acquisition by one line. For this purpose, predetermined relation is required between the drive motor 110 and the scan timing of the CCD 105, however, basically, an image is obtained by scanning light of the image obtained by the first carriage 102 and the second carriage 103 respectively moving at a constant speed, by the CCD 105, at a constant speed. Accordingly, a stepping motor is used as the drive motor 110 since it easily attains a constant speed, however, it is well known by those skilled in the art that a DC motor feed-back controlled by using an encoder may be used, or other various methods may be used.

When the subscanning has been completed to the final reading position of the original document and image acquisition by the CCD 105 has been completed, the motor 110 performs deceleration, then performs high-speed reverse rotation to return the first carriage 102 and the second carriage 103 in the HP direction. Then the motor stops the deceleration in

accordance with change in signal from the HP sensor 207, and performs low-speed forward rotation for positioning. The sequence of image acquisition from one page of the original document is as described above.

Fig. 9 illustrates the internal structure of integrated carriage type scanner. As shown in this figure, in the present apparatus, in the cabinet, a control circuit board 307 is positioned lower than running movement space for a carriage 300.

In Fig. 9, platen glass 310 on which an original document is placed is provided on a chassis 309. The carriage 300 which scans the platen glass 310 to obtain an image on the original document includes a light source 301 which irradiates the original document, a reflection plate 302 which performs light quantity correction of the light source, mirrors 303 and 304 which change the optical path of light from the original document and ensure an optical path length, and a CCD board 306 carrying a CCD 305 which photoelectric converts the light from the mirror 304.

A control circuit board 307 which processes the image signal from the carriage 300 and a power source 308 which supplies the entire power are placed in a sink portion 311 formed in the bottom surface of the chassis 309. In this arrangement, as the height of these parts is lowered, the platen glass surface can be set in the lowest position while minimizing the projection area of the scanner even in subscan movement of the carriage 300.

Note that the lower part of the scanner is supported by a frame 400, and a height H from a tray upper surface 403b in an end position of the tray 403 of the printer 401 to the bottom surface of the chassis is approximately equal to a height H between a tray upper surface 403a around a discharge roller 402 and the sink portion 311.

Fig. 10 illustrates a first example of the board arrangement of image sensor and control circuit board in a cabinet of the optical reading

apparatus. In Fig. 10, the CCD board 306 carrying the light gathering lens 104 and an image sensor 305 for photoelectric conversion is placed, with a control circuit board 107 connected to the CCD board for electric signal processing, in the sink portion 311 formed in the bottom surface of the chassis 309, such that the space for subscan movement of the carriage 300 is ensured above them.

Fig. 11 illustrates a second example of the board arrangement of image sensor and control circuit board of the optical reading apparatus. In this example, the control circuit board 107 for electric signal processing is placed in the sink portion 311 formed in the bottom surface of the chassis 309 such that the CCD board 306 carrying the light gathering lens 104 and the image sensor 305 for photoelectric conversion is provided in contact with the bottom surface of the chassis. Thus the area of the platen glass is set in the lowest position while the projection area in the cabinet is minimized.

#### (8) Downsizing

In the present invention, various measures are taken to downsize the entire shape of the apparatus. First, turn pulleys 124 and 121 are provided with notches in side surfaces of the chassis 100 for exhibiting parts of the pulleys. By this arrangement, the length of the chassis 100 in the subscanning direction is slightly shortened.

Secondly, the drive motor 110 is positioned around the drive shaft 112 and opposite to the turn pulley 124 with the drive shaft 112 therebetween such that the capacity of transmission mechanism 113 is not greater than the length of the chassis 100 in the subscanning direction. Further, as the drive motor 110 and the drive shaft 112 are provided in positions where the second carriage 103 does not move, the thickness in the height direction of the scanner 30 by hindrance by the drive shaft 112 and the transmission mechanism 113 is avoided.

Thirdly, the sink portion 100a is formed in the bottom surface of the chassis 100 by sheet-metal drawing. The lens 104, the CCD board 106 and the control board 108 are placed by effectively utilizing the sink portion 100a of the chassis 100.

In this manner, in the present embodiment, the control board is placed in the sink portion 100a of the chassis 100, however, the constituent parts such as the power source device and the driving mechanism and modules may be placed there.

#### (9) Entire Construction

In case of scanner for desktop use, the height from the floor to the original document surface is increased by the sink portion 100a, however, in a scanner placed on a printer as in the present embodiment, the original document surface can be remarkably lowered without any inconvenience for an operator by utilizing space where the printer tray 61 is withdrawn downward in a position where a printed sheet is discharged by the discharge roller 55 of the printer 31. This is naturally preferable in consideration of matching the apparatus to heights of Japanese and women. Further, as tall parts are placed in the sink portion, the position of shielding plate 125 covering them can be lowered, thereby the position of the center of gravity can be lowered and the position of the center of gravity viewed from the upper surface can be offset toward the struts 47d and 47c.

There are no strut in a position left and front of the struts 47d and 47b, on the upper part of the printer 31, such that a print output discharged by the discharge roller 55 can be easily picked up. To avoid distortion in the bottom surface of the chassis 100 of the scanner 30 as much as possible, the center of gravity of the scanner 30 is offset toward the struts 47c and 47d. Further, for the same reason, the drive motor 110 is provided in a far and back position from the operator, thereby the struts 47b and 47c are

effectively utilized. In addition, hinge insertion holes 46a are provided such that the hinges 34 for open/close supporting the ADF 33 directly apply the load of the ADF 33 not on the scanner 31 but on the struts 47b and 47c. Thus, upon opening of the ADF 33 when an opening direction is the back side and the center of gravity is especially high, the ADF is stably supported by the struts 47b and 47c.

#### (10) Operability

As an entire concept, the operator places an original document with his/her right hand on the ADF 33, and picks up a print output on the printer tray 61 with his/her left hand. Further, when the operator places the original document on the book platen glass 41, as the operator uses his/her right hand, the operator performs positioning by applying force on the original document in a leftward direction. Accordingly, the placement reference of the book platen glass 41 is on the left of the operator. That is, the reference for thrusting the original document is made by a member fixed on the boarder between the book platen glass 41 and the ADF platen glass 40. The reference member is provided as a part of a scanner cover mold omitted from illustration.

Further, as a measurement in case of placement of the original document in a high position, the book platen glass 41 is positioned in front of the operator as much as possible. As described above, the drive motor 110 and the transmission mechanism 113 are provided on the back side, the carriage is positioned on the front side. Further, the hinges 34 for open/close supporting the ADF 33 are set such that the ADF 33 is opened on the back side, and the hinges 34 and the book platen glass 41 are provided away from each other to a maximum. This is also a measure to prevent transfer of oil fouling of the hinge 34 using grease to the original document.

WHAT IS CLAIMED IS:

1. An image forming apparatus carrying an optical reading apparatus thereon, wherein said optical reading apparatus comprising:

platen glass defined with one end and the other end, on an upper surface of which an original document is placed;

an optical reading unit that translates between said both ends on a lower surface of said platen glass, for obtaining image data by irradiating an original document surface with light through said platen glass;

a control circuit board connected to said optical reading unit, for processing an electric signal; and

a housing wherein a side on the side of said other end is thicker than a side on the side of said one end,

and wherein said image forming apparatus having a cabinet containing: sheet discharge means for discharging an image formation sheet from a lower-part lower-side on said thicker side of said housing of said optical reading apparatus toward a lower-part upper side on said thinner side of said housing; and a discharge tray on which the image formation sheet discharged from said sheet discharge means is received and stacked.

2. The image forming apparatus according to claim 1, wherein said control circuit board is provided in a position lower than running movement space of said optical reading unit.

3. An image forming apparatus carrying an optical reading apparatus thereon, wherein said optical reading apparatus comprising:

platen glass defined with one end and the other end, on an upper surface of which an original document is placed;

light source means translating between said both ends on a lower

surface of said platen glass, for irradiating an original document surface with light through said platen glass;

photoelectric conversion means for receiving reflection light from said original document and converting it into an electric signal;

a optical path means for guiding said reflection light to said photoelectric conversion means;

a control circuit board connected to said photoelectric conversion means, for processing an electric signal; and

a housing wherein a side on the side of said other end is thicker than a side on the side of said one end,

and wherein said image forming apparatus having a cabinet containing: sheet discharge means for discharging an image formation sheet from a lower-part lower-side on said thicker side of said housing of said optical reading apparatus toward a lower-part upper side on said thinner side of said housing; and a discharge tray on which the image formation sheet discharged from said sheet discharge means is received and stacked.

4. The image forming apparatus according to claim 3, wherein said light source means has a first carriage for running said light source means and a second carriage that runs at a speed half of that of said first carriage.

5. The image forming apparatus according to claim 3, wherein said control circuit board is provided at an equal height of or at a position lower than said photoelectric conversion means.

6. The image forming apparatus according to claim 1 or 3, wherein said optical reading apparatus further comprising shield means, surrounding said photoelectric conversion means and said control circuit board, for



preventing entrance of leakage light inside and outside said apparatus and electric noise and preventing electromagnetic wave interference including diffusion of radiation noise.

7. The image forming apparatus according to claim 1 or 3, wherein in said optical reading apparatus, drive mechanism means including a drive motor is provided on said other end side rather than said center of said platen glass on a back side opposite to an operation front side of said apparatus.

8. The image forming apparatus according to claim 7, wherein said platen glass in said housing means of said optical reading apparatus is moved to the operation front side of said apparatus.

9. The image forming apparatus according to claim 8, wherein said image forming apparatus has an automatic document feeding device on said optical reading apparatus, and wherein said automatic document feeding device is hinged, so as to open and close said platen glass surface, on a back peripheral side opposite to the operation front side of said optical reading apparatus with respect to said cabinet.

10. The image forming apparatus according to claim 9, wherein image data of said original document is read from said one end side toward said other end side of said platen glass.

11. An optical reading apparatus mounted on an image forming apparatus, comprising:

platen glass defined with one end and the other end, on an upper surface of which an original document is placed;

an optical reading unit that translates between said both ends on a lower surface of said platen glass, for obtaining image data by irradiating an original document surface with light through said platen glass;

a control circuit board connected to said optical reading unit and provided on said other end side rather than said center under a surface of said platen glass, for processing an electric signal.

12. The optical reading apparatus according to claim 11, wherein said control circuit board is provided in a position lower than running movement space of said moving optical reading unit.

13. An optical reading apparatus mounted on an image forming apparatus, comprising:

platen glass defined with one end and the other end, on an upper surface of which an original document is placed;

a first carriage means including light source means translating between said both ends on a lower surface of said platen glass, for irradiating an original document surface with light through said platen glass and first reflection means for reflecting reflection light from said original document surface in a direction of said one end of said platen glass;

second carriage means including second reflection means positioned below said first carriage, synchronously running at a speed half of that of said first carriage between said one end and a center, for reflecting said reflection light from said first reflection means in a direction of said other end of said platen glass;

photoelectric conversion means, provided on said other end side rather than said center under a surface of said platen glass, for receiving reflection light from said second reflection means and converting it into an

electric signal; and

a control circuit board connected to said photoelectric conversion means and provided on said other end side rather than said center under said platen glass, for processing the electric signal.

14. The optical reading apparatus according to claim 13, wherein said control circuit board is provided at an equal height of or at a position lower than an image sensor constructing said photoelectric conversion means.

15. The optical reading apparatus according to claim 11 or 13, wherein said optical reading apparatus further comprising shield means, surrounding said photoelectric conversion means and said control circuit board, for preventing entrance of leakage light inside and outside said apparatus and electric noise and preventing electromagnetic wave interference including diffusion of radiation noise.

16. The optical reading apparatus according claim 11 or 13, further comprising drive mechanism means including a drive motor, and said drive mechanism means is provided on said other end side rather than said center of said platen glass on a back side opposite to an operation front side of said apparatus.

17. The optical reading apparatus according to claim 16, wherein said platen glass in said housing means is moved to the operation front side of said apparatus.

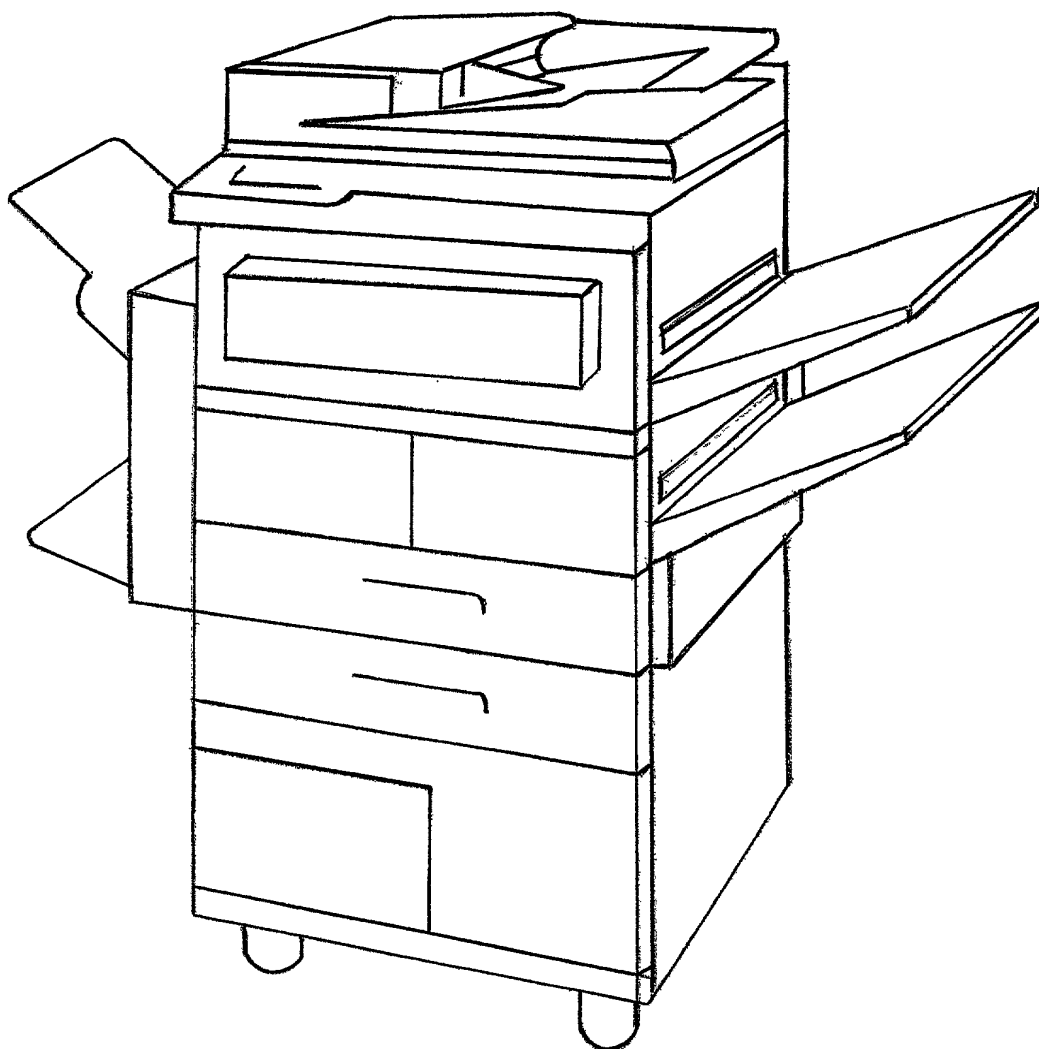
18. The optical reading apparatus according to claim 17, wherein image data of said original document is read from said one end side toward said

other end side of said platen glass.

19. The optical reading apparatus according to claim 18, wherein said housing means is formed such that a lower part on the side of said one end is thinner than a lower part on the side of said other end.

[ABSTRACT OF THE INVENTION]

An optical reading apparatus and a multifunction-type image forming apparatus, with a minimized thickness in a cabinet height direction and a housing shaped along an upper shape of discharge tray of the image forming apparatus provided thereunder. The optical reading apparatus comprises: platen glass defined with one end and the other end, on an upper surface of which an original document is placed; an optical reading unit that translates between the both ends on a lower surface of the platen glass, for obtaining image data by irradiating an original document surface with light through the platen glass; a control circuit board connected to the optical reading unit, for processing an electric signal; and a housing wherein a side on the side of the other end is thicker than a side on the side of the one end. The image forming apparatus has a cabinet containing: sheet discharge unit for discharging an image formation sheet from a lower-part lower-side on the thicker side of the housing of the optical reading apparatus toward a lower-part upper side on the thinner side of the housing; and a discharge tray on which the image formation sheet discharged from the sheet discharge unit is received and stacked.



A line drawing of a mobile office unit. It features a base with four casters. The main body has a series of drawers: two small drawers at the top, followed by two larger drawers with horizontal handles, and a larger compartment at the bottom with a vertical handle. On top of the unit is a storage compartment with a lid that is slightly open, revealing some papers inside. The drawing is a simple line art illustration.

[illegible]





Fig. 4

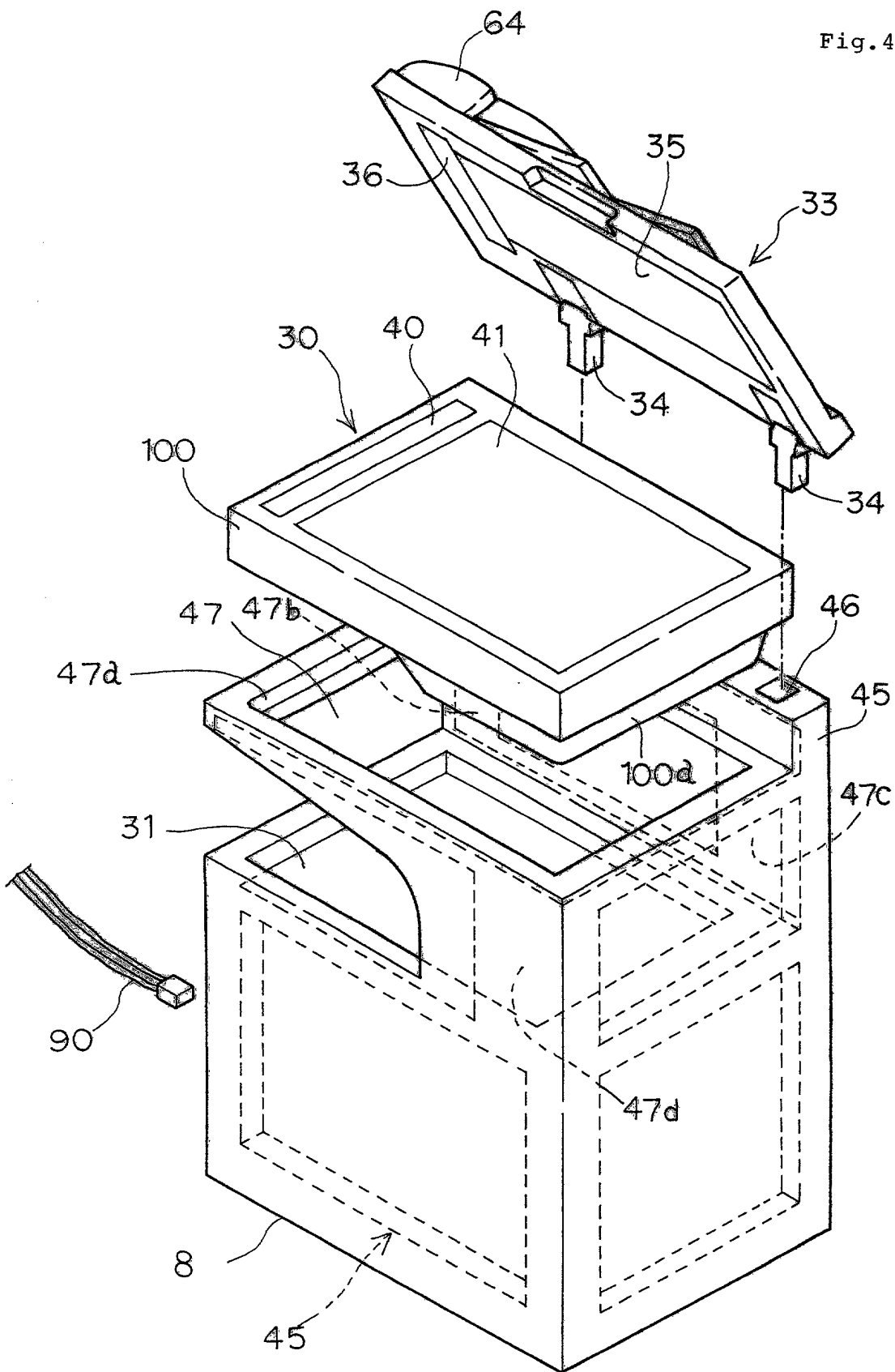
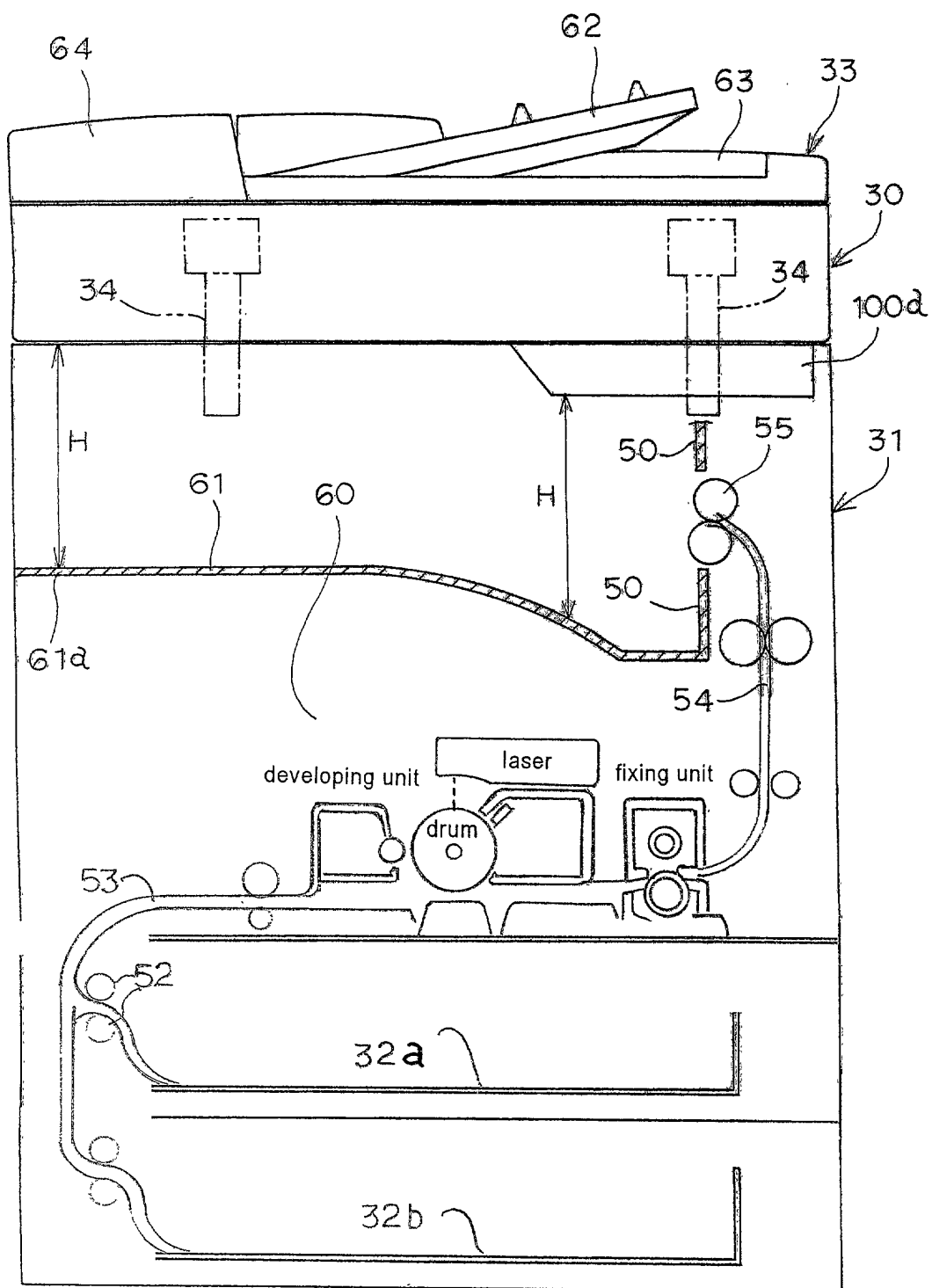


Fig. 5



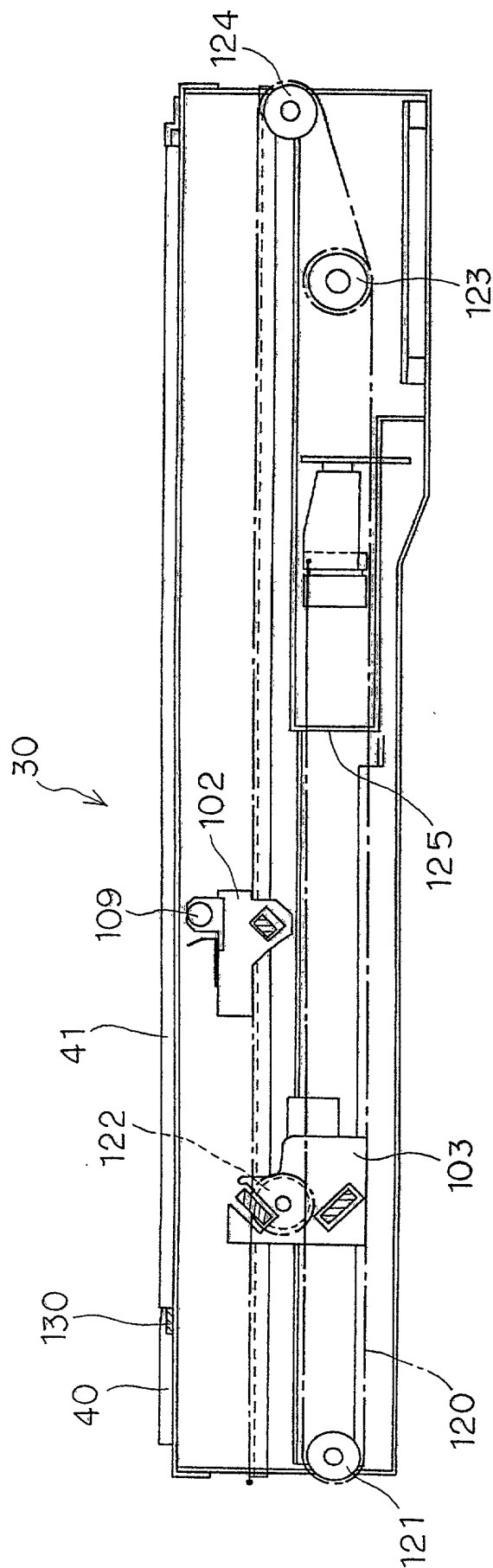


Fig.6

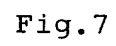


Fig. 7

Fig.8

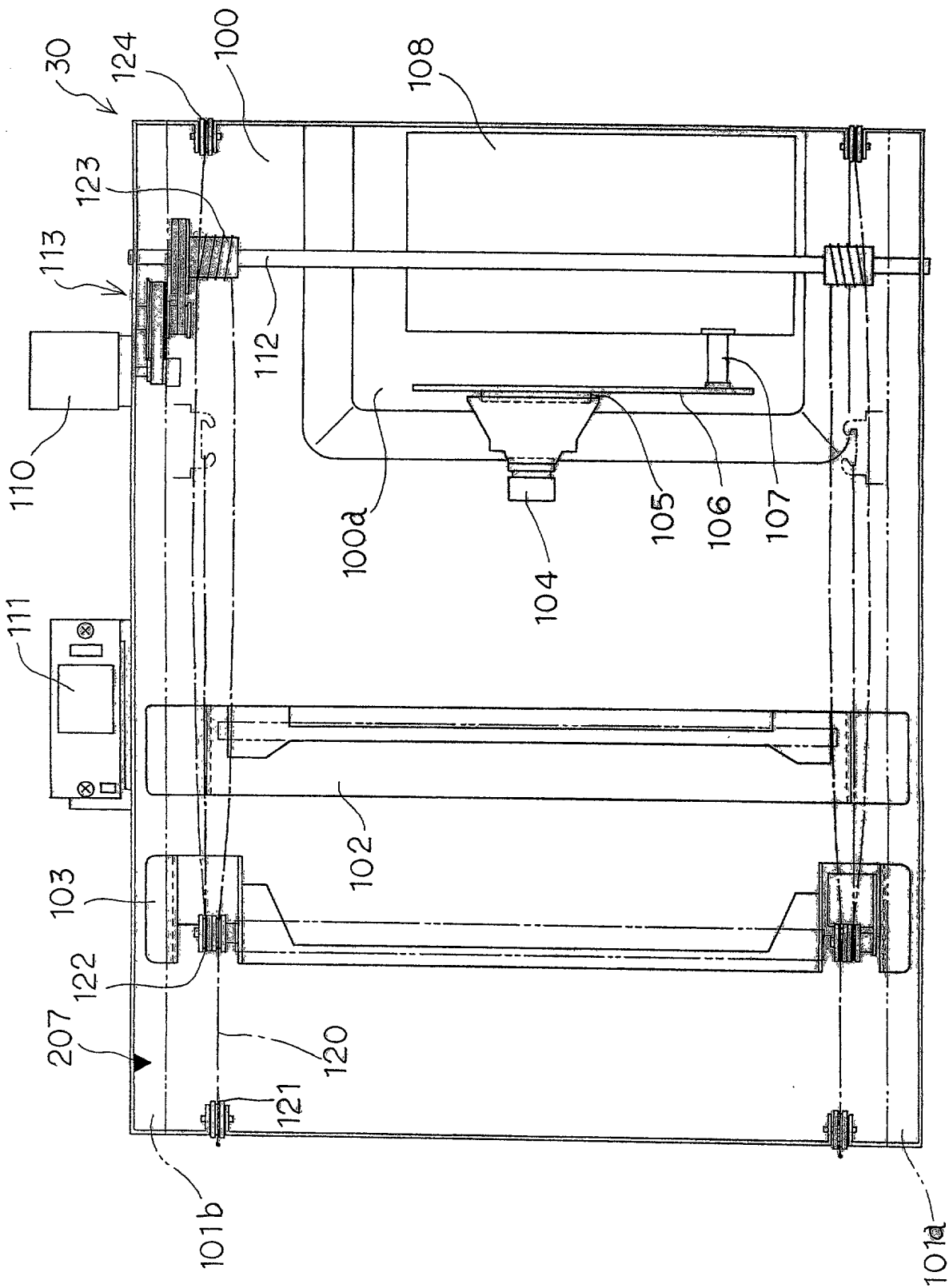


Fig. 9

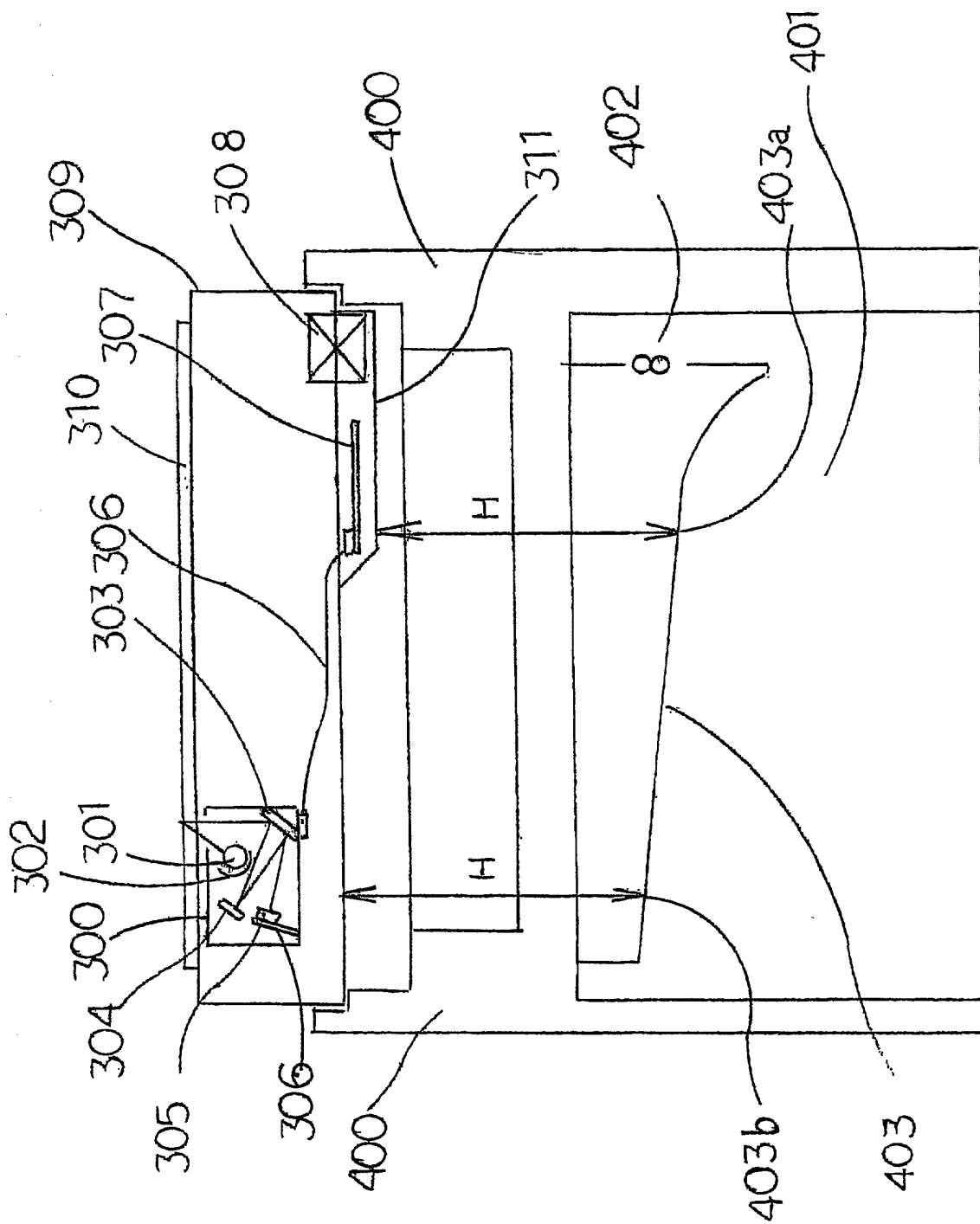


Fig.10

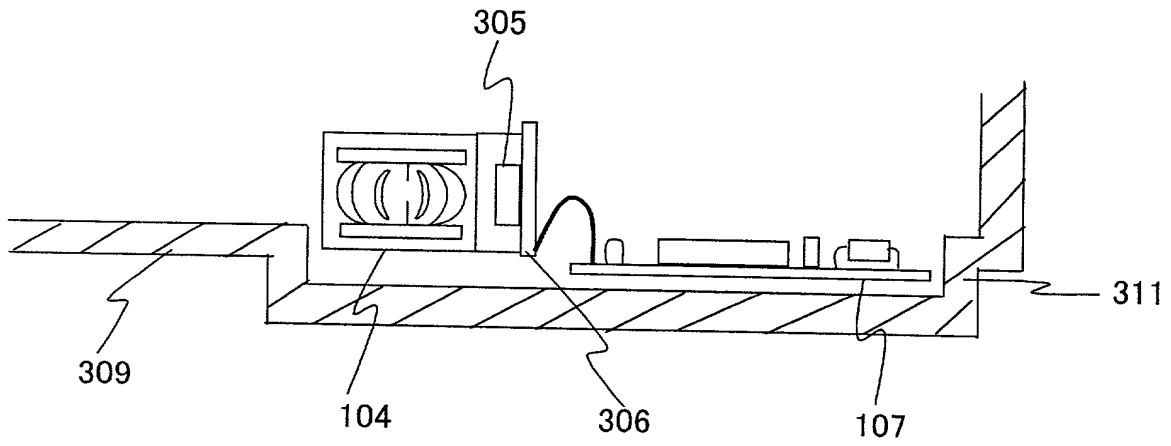
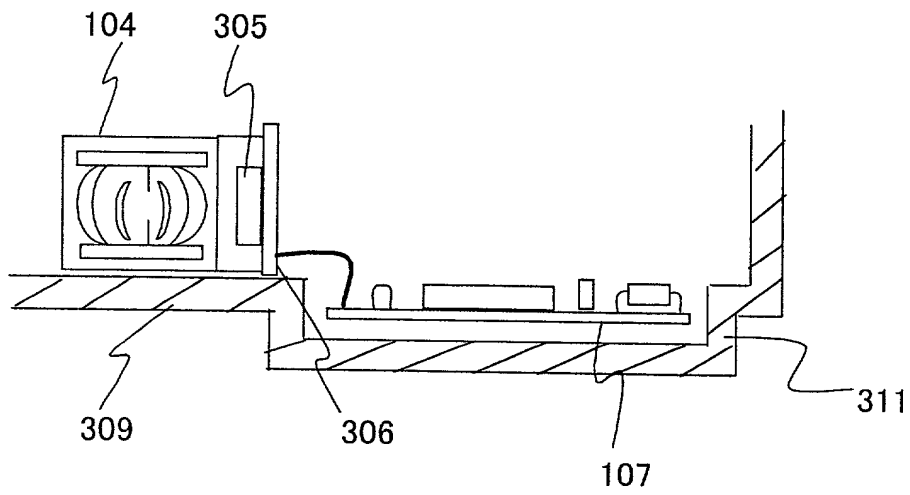
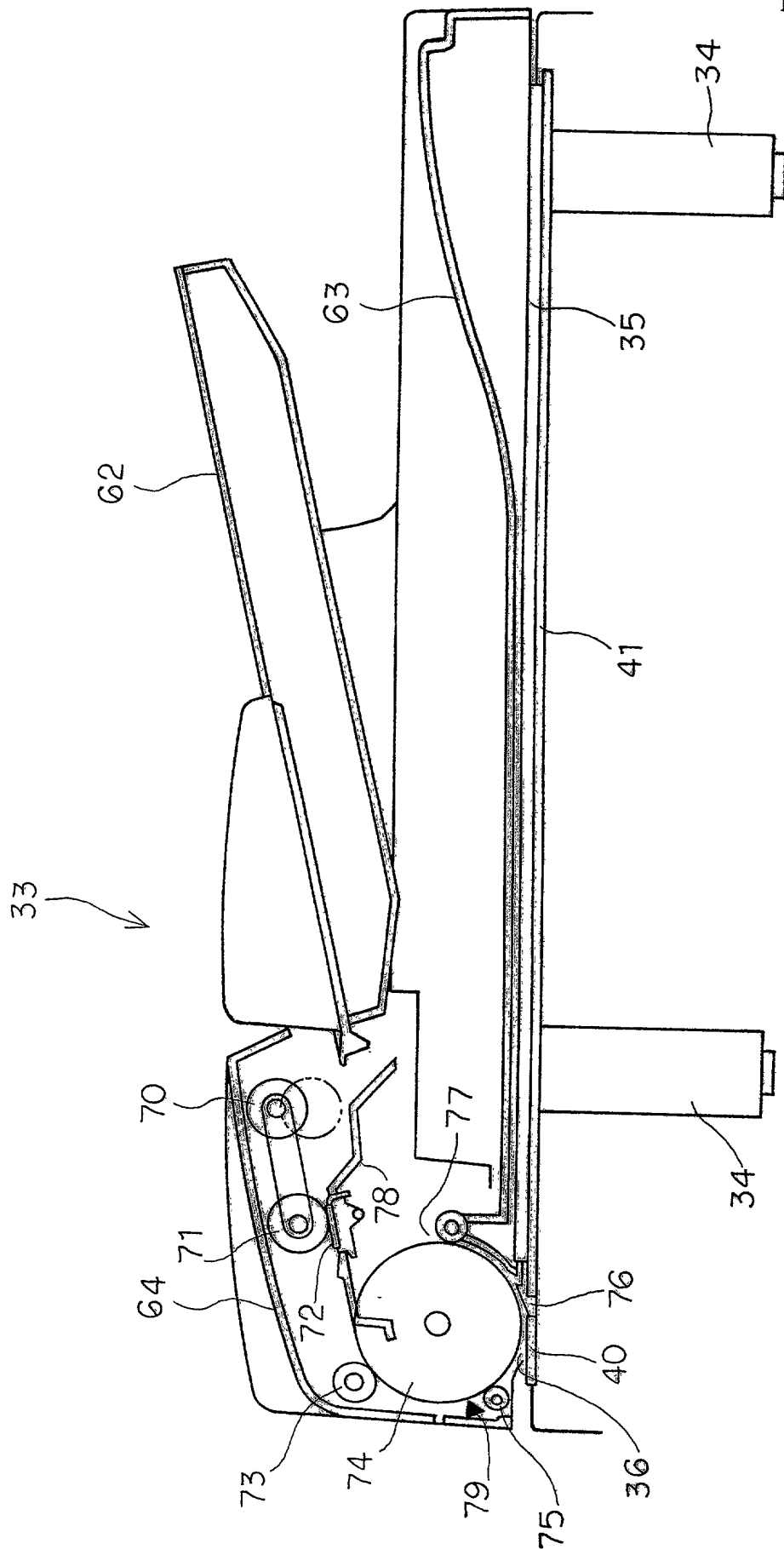


Fig.11









Docket No. \_\_\_\_\_

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON

## Declaration for U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
(Insert Title) OPTICAL READING APPARATUS AND IMAGE FORMING APPARATUS

the specification of which is attached hereto unless the following is checked



was filed on \_\_\_\_\_ as United States Application Number or PCT International  
Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_  
\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed:

(List prior foreign applications. See note A on back of this page)	<u>11-314458</u>	<u>Japan</u>	<u>4/11/1999</u>	Priority Claimed _____ Yes _____ No
	(Number)	(Country)	(Day/Month/Year Filed)	
	_____	_____	_____	_____ Yes _____ No
	(Number)	(Country)	(Day/Month/Year Filed)	
	_____	_____	_____	_____ Yes _____ No
	(Number)	(Country)	(Day/Month/Year Filed)	
	_____	_____	_____	_____ Yes _____ No
	(Number)	(Country)	(Day/Month/Year Filed)	

(See note B on back of this page)

\_\_\_\_ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List Prior U.S. Applications)

\_\_\_\_\_  
(Appln. Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status: Patented, Pending, Abandoned)

\_\_\_\_\_  
(Appln. Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status: Patented, Pending, Abandoned)

(Appln. Serial No.)

(Filing Date)

(Status: Patented, Pending, Abandoned)

\* I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

James E. Armstrong, III, Reg. No. 18,366; William F. Westerman, Reg. No. 29,988; Ken-Ichi Hattori, Reg. No. 32,861; Le-Nhung McLeland, Reg. No. 31,541; Ronald F. Naughton, Reg. No. 24,616; William G. Kratz, Jr., Reg. No. 22,631; Mel R. Quintos, Reg. No. 31,898; Donald W. Hanson, Reg. No. 27,133; Stephen G. Adrian, Reg. No. 32,878; Scott M. Daniels, Reg. No. 32,562; William L. Brooks, Reg. No. 34,129; John F. Carney, Reg. No. 20,276; Edward F. Welsh, Reg. No. 22,455; John P. Kong, Reg. No. 40,054; James E. Armstrong, IV, Reg. No. 42,266; Nicholas E. Seckel, Reg. No. 44,373; Raymond J. Ho, Reg. No. 41,838; Thomas E. Brown, Reg. No. 44,450; and James N. Baker, Reg. No. 40,899.

Please direct all communications to the following address:

ARMSTRONG, WESTERMAN, HATTORI,  
MCLELAND & NAUGHTON  
1725 K Street, N.W., Suite 1000  
Washington, D.C. 20006  
Telephone: (202) 659-2930 Fax: (202) 887-0357

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C  
above)

Full name of sole or first inventor (given name, family name) Tsutomu SATO

Inventor's signature Tsutomu Sato Date 16-Oct-2000

Residence Shizuoka, Japan Citizenship Japan

Post Office Address 8-3, Mifuku, Ohito-cho, Tagata-gun, Shizuoka 410-2321 Japan

Full name of second inventor (given name, family name) Ryusuke YAMAGUCHI

Inventor's signature Ryusuke Yamaguchi Date 16-Oct-2000

Residence Shizuoka, Japan Citizenship Japan

Post Office Address 15-8-A102, Kamo, Mishima-shi, Shizuoka 411-0023 Japan

Full name of third inventor (given name, family name) Yusuke HASHIZUME

Inventor's signature Yusuke Hashizume Date 16-Oct-2000

Residence Tokyo, Japan Citizenship Japan

Post Office Address 1-8, Haneda 5-chome, Ota-ku, Tokyo 144-0043 Japan

Full name of fourth inventor (given name, family name) Kazuyoshi TSUTSUMI

Inventor's signature Kazuyoshi Tsutsumi Date 16-Oct-2000

Residence Kanagawa, Japan Citizenship Japan

Post Office Address 2009-102, Hisasue, Takatsu-ku, Kawasaki-shi, Kanagawa 213-0026 Japan

Full name of fifth inventor (given name, family name) Yutaka HAYANO

Inventor's signature Yutaka Hayano Date 17. Oct. 2000

Residence Kanagawa, Japan Citizenship Japan

Post Office Address 12-12, Sasanodai 2-chome, Asahi-ku, Yokohama-shi, Kanagawa 241-0816 Japan

Full name of sixth inventor (given name, family name) Hiroshi MAEJIMA

Inventor's signature Hiroshi MAEJIMA Date 11. Oct. 2000

Residence Yamanashi, Japan Citizenship Japan

Post Office Address 421-1-406, Kamihirai, Isawa-cho, Higashi-yatsushiro-gun, Yamanashi 406-0025 Japan

Full name of seventh inventor (given name, family name) Junya OZAWA

Inventor's signature Junya Ozawa Date 11. Oct. 2000

Residence Yamanashi, Japan Citizenship Japan

Post Office Address 24-14, Odawa, Tatomi-cho, Nakakoma-gun, Yamanashi 409-3831 Japan

Full name of eighth inventor (given name, family name) \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of ninth inventor (given name, family name) \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_